

### Securities Transfer Request and Notification Form

You can transfer shares directly from your brokerage account to ours. You may use this form to provide instructions to your broker. We kindly ask that you also send us a copy at [donate@catawbalands.org](mailto:donate@catawbalands.org), or call us at 704.342.3330, ext 2221 so we can be sure to recognize you and apply your gift properly.

Date: \_\_\_\_\_

To: \_\_\_\_\_ *(your broker's name)*

\_\_\_\_\_ *(brokerage firm)*

\_\_\_\_\_ *Broker's phone number)*

Please accept this letter as authorization to irrevocably transfer:

# Shares: \_\_\_\_\_ Name/symbol of stock or fund: \_\_\_\_\_

From account number: \_\_\_\_\_

Which you hold in the name of: \_\_\_\_\_

*(account title at brokerage firm, e.g. Jane Smith Family Fund)*

Signature(s) \_\_\_\_\_

of account \_\_\_\_\_

owner(s): \_\_\_\_\_

Please transfer to:

Pershing Advisor Solutions LLC  
One Pershing Plaza, 4th Floor  
Jersey City, New Jersey 07399

Account Name: Catawba Lands Conservancy  
DTC Number: 0443  
Account Number: 5QR402486

#### Instructions for Catawba Lands Conservancy and Carolina Thread Trail:

For acknowledgment of the gift please list my/our name(s) as follows:

Preferred Name: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

This gift is \_\_\_\_\_

anonymous \_\_\_\_\_

This gift is designated for:

Catawba Lands  
Conservancy

Carolina Thread  
Trail

Split

Where most  
needed